

Are you ready to take the NextStep in 401(k) solutions?

When it comes to selecting a DC recordkeeping and solutions partner, NextStep is an appealing and sensible choice for advisors and TPAs. Here's why:

We're independent.

As an independent recordkeeper, the services and solutions we provide are not limited, restricted or influenced by mutual fund companies, broker/dealers, or other financial institutions. We work closely with advisors and TPAs to deliver solutions that make sense for their clients.

We have a national presence.

With nearly 1,400 plan sponsor clients throughout the country – serviced in conjunction with hundreds of local advisors and TPAs – NextStep can support your needs wherever they might be.

We're advisor-centric.

NextStep is a recordkeeper, not a registered investment advisor. Our services and solutions are “advisor-sold” – we never solicit plan sponsors directly and do not compete for advisory business. Within our business model, advisors are a key component to our success. As such, we do everything we can to help them succeed in the defined contribution space.

We're TPA-friendly.

NextStep partners with hundreds of local TPAs and regional TPA groups across the country in providing recordkeeping services for their defined contribution clients.

We offer a “zero alpha” alternative.

NextStep empowers and supports advisors and TPAs who wish to offer their DC clients an investment alternative beyond the traditional mutual fund approach. We offer a compelling “zero alpha” solution that promotes transparency and addresses excessive investment costs while providing access to high quality investment options such as enhanced index funds, traditional index funds, and exchange traded funds (ETFs).

We use leading-edge technology.

NextStep maintains a partnership with Sungard/Relius, one of the world's leading software and IT services companies. Today, Sungard systems process over 30 million retirement accounts, and its Relius ASP platform serves more than 1 million retirement plan participants. So as a NextStep partner, you get the very best the industry has to offer in terms of security, stability, compliance, functionality and content.

We offer a true open architecture platform.

NextStep's open architecture approach provides access to thousands of mutual fund options that can be used in constructing a plan's investment menu, and never limits you to only those offered by a single source fund family or an insurance company. As a result, advisors and TPAs can virtually choose from the universe of fund options, including institutional class funds, high quality index and enhanced index funds, and exchange traded funds (ETFs).

We provide key tools and resources.

NextStep provides tools and resources that help advisors and TPAs simplify their workload and enhance the value they provide to plan sponsor clients and participants. With round-the-clock access to accounts via the web, online plan management, and detailed reporting capabilities, the NextStep offering is a compelling choice.

We deliver quality customer service and support.

Providing quality customer service is a key component of NextStep's mission. As a NextStep partner, advisors and TPAs are assigned a Client Services Specialist that manages your day-to-day needs and requests.





To submit a proposal request, find out about our recordkeeping services and solutions, or learn more about how NextStep works with advisors and TPAs interested in building a defined contribution practice, please contact a member of our sales team:

Ken Weida
Vice President, Sales
(w) 925-328-4547
(m) 925-915-401k
ken.weida@nextstepdc.com

Lisa Lacayo
Vice President, Field Sales
(w) 925-328-4458
(m) 415-971-6878
lisa.lacayo@nextstepdc.com

General contact information:

Corporate Office

2001 North Main Street
Suite 500
Walnut Creek, CA 94596
Phone: 888-913-3600 (Monday-Friday, 8:00am-5:00pm Pacific Time)
Fax: 925-831-0833

Client Services

Phone: 888-886-8256 (Monday-Friday, 7:00am-6:00pm Pacific Time)
Email: clientservices@nextstepdc.com

Sales

Phone: 888-913-3600
Email: sales@nextstepdc.com

Are you interested in becoming a NextStep partner? Call 888-913-3600 or send an email to partners@nextstepdc.com

About NextStep

NextStep Defined Contribution, Inc. (NextStep), a wholly owned subsidiary of Pension Specialist, Inc., is an independent provider of recordkeeping and administrative services for 401(k) and other defined contribution plans. Through the use of leading-edge technology, the Company offers an innovative and comprehensive retirement solution for advisors, TPAs, plan sponsors and plan participants.

NextStep's independence and "advisor-friendly" business model – along with its ability to provide access to key investment options such as institutional class funds, a broad array of index and enhanced index funds, and exchange traded funds (ETFs) – make it an appealing choice for advisors and TPAs in need of low-cost and transparent investment options for their clients.

The Company is located east of San Francisco in the Bay Area of Northern California. For more information about NextStep, please visit www.nextstepdc.com.